When do quasisymmetric functions know that trees are different?

Peter McNamara Bucknell University, USA

Joint work with: Jean-Christophe Aval LaBRI, CNRS, Université de Bordeaux, France

Karimatou Djenabou African Institute for Mathematical Sciences, South Africa

Washington University inSt.Louis WashU Combinatorics Seminar 12 December 2022



Slides and paper available from

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- Chromatic (quasi)symmetric functions and the motivating conjectures
- Converting to a poset question; more conjectures
- Some old and new results

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George Birkhoff, 1912

Graph G = (V, E)

Colouring/Coloring: a map $\kappa : V \rightarrow \{1, 2, 3, \ldots\}$

Proper coloring: adjacent vertices get different colors.





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Chromatic polynomial: $\chi_G(k)$ is the number of proper colorings of *G* when *k* colors are available.

xample.
$$\chi_{G}(k) = k(k-1)(k-1)$$

Richard Stanley, 1995

Graph G = (V, E)

$$V = \{v_1, v_2, \ldots, v_n\}$$



To a proper coloring κ , we associate the monomial in commuting variables x_1, x_2, \ldots

 $X_{\kappa(v_1)}X_{\kappa(v_2)}\cdots X_{\kappa(v_n)}.$



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Chromatic symmetric function:

$$X_G(x_1,x_2,\ldots)=X_G(\mathbf{x})=\sum$$

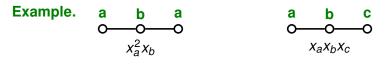
$$\sum x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}.$$

proper
$$\kappa$$

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Chromatic symmetric function:

$$X_G(\mathbf{x}) = \sum_{\text{proper }\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}.$$



Chromatic symmetric function:

Example.

$$X_G(\mathbf{x}) = \sum_{\text{proper }\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}.$$

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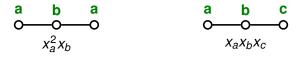
$$X_G(\mathbf{x}) = \sum_{a \neq b} x_a^2 x_b + 6 \sum_{a < b < c} x_a x_b x_c$$

 $(= m_{21} + 6m_{111}).$

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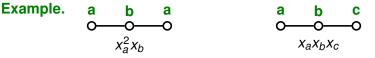
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- X_G(x) is a symmetric function (invariant when you permute the colors/variables)
- Setting $x_i = 1$ for $1 \le i \le k$ and $x_i = 0$ otherwise yields $\chi_G(k)$. e.g. $k(k-1) + 6\binom{k}{3} = k(k-1)^2$.

$$X_G(\mathbf{x}) = \sum_{\text{proper }\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}.$$

Statement 1.

 $X_G(\mathbf{x})$ distinguishes graphs.

In other words, if *G* and *H* are not isomorphic, then $X_G(\mathbf{x}) \neq X_H(\mathbf{x})$.



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Famous Conjecture 1 (Stanley as a question). $X_G(\mathbf{x})$ distinguishes trees. In other words, if *T* and *U* are non-isomorphic trees, then $X_T(\mathbf{x}) \neq X_U(\mathbf{x})$.

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[Aliste-Prieto, Crew, de Mier, Fougere, Heil, Ji, Loebl, Martin, Morin, Orellana, Scott, Smith, Sereni, Spirkl, Tian, Wagner, Zamora, ...] **Remark.** Stanley–Stembridge: another famous $X_G(\mathbf{x})$ conjecture.

A little bit of (quasi)symmetric functions

 $x^2y + y^2x + x^2z + z^2x + y^2z + z^2y$ is a symmetric polynomial in $\{x, y, z\}$ because it doesn't change when you permute the variables. $\sum_{a \neq b} x_a^2 x_b = x_1^2 x_2 + x_2^2 x_1 + x_1^2 x_3 + \cdots$ is a symmetric function in **x**.

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Definition. A quasisymmetric function is a formal power series (over \mathbb{Z} , say) in x_1, x_2, \ldots of bounded degree whose coefficients are *shift invariant* meaning

coefficient of $x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_k^{\alpha_k}$ = coefficient of $x_{a_1}^{\alpha_1} x_{a_2}^{\alpha_2} \cdots x_{a_k}^{\alpha_k}$

whenever $a_1 < a_2 < \cdots < a_k$.

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For a composition $\alpha = (\alpha_1, \alpha_2, ..., \alpha_k)$ the monomial quasisymmetric function is:

$$M_{\alpha} = \sum_{a_1 < a_2 < \cdots < a_k} x_{a_1}^{\alpha_1} x_{a_2}^{\alpha_2} \cdots x_{a_k}^{\alpha_k}.$$

The M_{α} form a basis for the algebra *QSym* of quasisymmetric functions.

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A great basis: Gessel's fundamental quasisymmetric functions

$$F_{\alpha} = \sum_{\beta \text{ refines } \alpha} M_{\beta}.$$

Example.

 $F_{32} = M_{32} + M_{212} + M_{122} + M_{1112} + M_{311} + M_{2111} + M_{1211} + M_{11111}.$ (M_{221} , for example, does not appear).

John Shareshian & Michelle Wachs, 2014; Brittney Ellzey, 2017. Directed graph $\vec{G} = (V, E)$.

Ascent of proper coloring κ : directed edge $u \rightarrow v$ with $\kappa(u) < \kappa(v)$ asc(κ): the number of ascents of κ .

Example. Colors a < b < c



$\kappa(V_1)$	$\kappa(V_2)$	$\kappa(V_3)$	$\operatorname{asc}(\kappa)$
а	b	С	1
а	С	b	2
b	а	С	0
b	С	а	2
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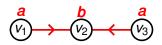
$$X_{\overrightarrow{G}}(\mathbf{x},t) = \sum_{ ext{proper }\kappa} t^{\operatorname{asc}(\kappa)} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}$$

Example. $X_{\overrightarrow{G}}(\mathbf{x},t) = (2+2t+2t^2)M_{111} + t^2M_{21} + M_{12}$.

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Statement 3.

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i.e. if \overrightarrow{G} and \overrightarrow{H} are not isomorphic, then $X_{\overrightarrow{G}}(\mathbf{x}, t) \neq X_{\overrightarrow{H}}(\mathbf{x}, t)$.

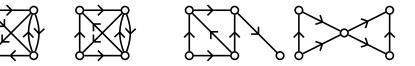


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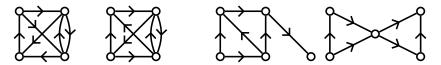


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Statement 4.

 $X_{\overrightarrow{G}}(\mathbf{x}, t)$ distinguishes directed trees. In other words, if \overrightarrow{T} and \overrightarrow{U} are non-isomorphic directed trees, then $X_{\overrightarrow{T}}(\mathbf{x}, t) \neq X_{\overrightarrow{U}}(\mathbf{x}, t)$.



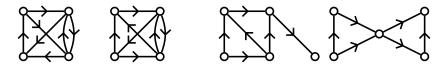
Can $X_{\overrightarrow{G}}(\mathbf{x}, t)$ distinguish graphs?

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Motiviating Conjecture 2 (stated as a question by Per Alexandersson and Robin Sulzgruber, 2021).

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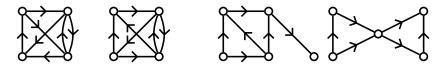
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This conjecture was our original goal.

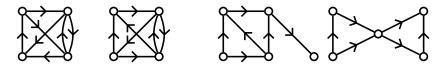
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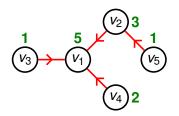
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This conjecture was our original goal. Strategy: translate to posets.

$$X_{\overrightarrow{G}}(\mathbf{x},t) = \sum_{ ext{proper }\kappa} t^{ ext{asc}(\kappa)} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_n)}.$$

Want to show: $X_{\overrightarrow{T}}(\mathbf{x}, t) \neq X_{\overrightarrow{U}}(\mathbf{x}, t)$. Key insight:

- Look at the coefficient of the highest power of t.
- ▶ It's enough to show these coefficients are different for *T* and *U*.
- So just look at colorings where all edges are ascents

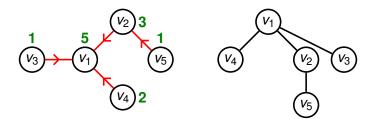


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 $v_i \leq_P v_j$ if there is a directed path from v_i to v_j .

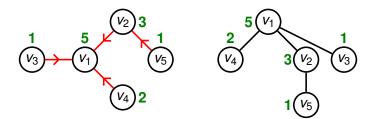


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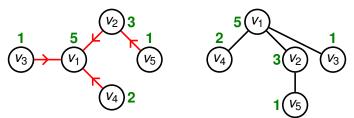
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Want to show: $X_{\overrightarrow{I}}(\mathbf{x}, t) \neq X_{\overrightarrow{U}}(\mathbf{x}, t)$. Key insight:

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 $v_i \leq_P v_j$ if there is a directed path from v_i to v_j .

► The corresponding coloring is a strict *P*-partition.

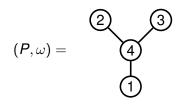


Labeled poset (P, ω) : poset *P* with *n* elements and a bijection $\omega : P \to \{1, 2, ..., n\}$.

$$(P,\omega) =$$

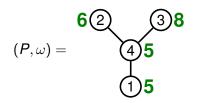
$$\begin{array}{c}
2 & 3 \\
4 \\
1
\end{array}$$

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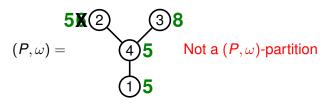
- ▶ *f* is ordering preserving, i.e. if $a <_P b$ then $f(a) \le f(b)$;
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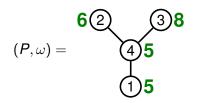
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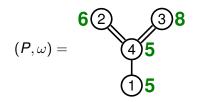
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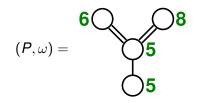


Key definition (Stanley, 1971). A (P, ω) -partition is a map *f* from *P* to the positive integers satisfying:

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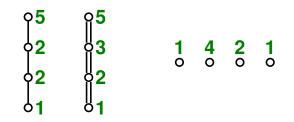


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We use double edges to denote the strictness conditions and then we can (usually) ignore the underlying labeling.

Motivating examples for (P, ω) -partitions

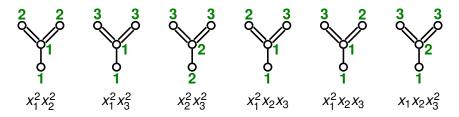


- (P, ω) chain with all weak edges: get a partition
- \triangleright (*P*, ω) chain with all strict edges: get a partition with distinct parts
- (P, ω) is an antichain: get a composition

General (P, ω) -partitions interpolate between these classical objects.

The (P, ω) -partition enumerator

Example. Resrict to $f(p) \in \{1, 2, 3\}$.



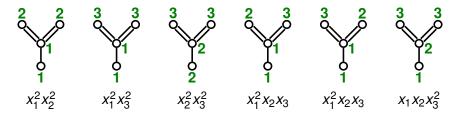
$$\mathcal{K}_{(P,\omega)}(x_1, x_2, x_3) = x_1^2 x_2^2 + x_1^2 x_3^2 + x_2^2 x_3^2 + 2x_1^2 x_2 x_3 + x_1 x_2 x_3^2.$$

In general, the (P, ω) -partition enumerator is by given by:

$$\mathcal{K}_{(P,\omega)}(\mathbf{x}) = \sum_{(P,\omega) \text{-partition } f} x_1^{\#f^{-1}(1)} x_2^{\#f^{-1}(2)} \cdots$$

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Seem familiar?

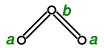
From $X_{\overrightarrow{G}}(\mathbf{x}, t)$ to $K_{(P,\omega)}$



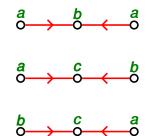


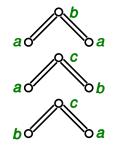
From $X_{\overrightarrow{G}}(\mathbf{x}, t)$ to $K_{(P,\omega)}$ colorings of \overrightarrow{G} with all ascents \longleftrightarrow strict *P*-partitions a < b < c

$$a \qquad b \qquad a \\ 0 \rightarrow 0 \qquad 0 \qquad 0$$

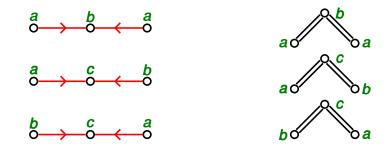


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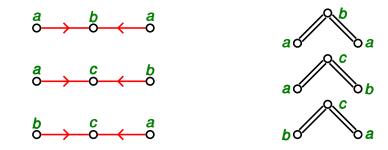


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coefficient of t^2 in $X_{\overrightarrow{G}}(\mathbf{x}, t) = M_{21} + 2M_{111} = K_P^{<}(\mathbf{x})$.

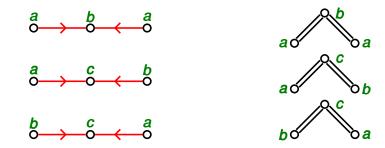
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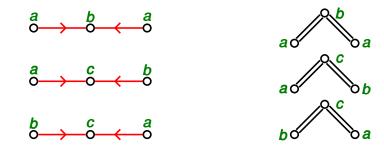
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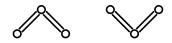
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For general trees, coefficient of $t^{\#E}$ in $X_{\overrightarrow{G}}(\mathbf{x}, t) = \mathcal{K}_{P}^{<}(\mathbf{x})$. Translation complete. Now study equality among $\mathcal{K}_{(P,\omega)}(\mathbf{x})$. From $X_{\overrightarrow{G}}(\mathbf{x}, t)$ to $K_{(P,\omega)}$ colorings of \overrightarrow{G} with all ascents \longleftrightarrow strict *P*-partitions $\mathbf{a} < \mathbf{b} < \mathbf{c}$



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For general trees, coefficient of $t^{\#E}$ in $X_{\overrightarrow{G}}(\mathbf{x}, t) = K_{P}^{<}(\mathbf{x})$. **Translation complete. Now study equality among** $K_{(P,\omega)}(\mathbf{x})$. [Browning, Féray, Hasebe, Hopkins, Kelly, Liu, M., Tsujie, Ward, Weselcouch]



Statement 5.

 $K_P^{<}(\mathbf{x})$ distinguishes posets that are trees. i.e. if tree posets *P* and *Q* are not isomorphic, then $K_P^{<}(\mathbf{x}) \neq K_Q^{<}(\mathbf{x})$.





Conjecture 3 (Stated as a question by Takahiro Hasebe & Shuhei Tsujie, 2017).

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False Statement 3 (mix strict and weak edges). $\mathcal{K}_{(P,\omega)}(\mathbf{x})$ distinguishes labeled posets that are trees. i.e. if labeled tree posets (P, ω) and (Q, τ) are not isomorphic, then $\mathcal{K}_{(P,\omega)}(\mathbf{x}) \neq \mathcal{K}_{(Q,\tau)}(\mathbf{x})$.



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 $K_P^<(\mathbf{x})$ distinguishes posets that are rooted trees. i.e. if rooted tree posets *P* and *Q* are not isomorphic, then $K_P^<(\mathbf{x}) \neq K_Q^<(\mathbf{x})$.





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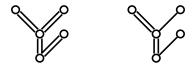


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We'd like to allow a mixture of strict and weak edges

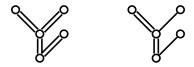
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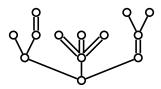
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Our main contribution sits between Theorem 1 and Conjecture 4.

Fair trees and a generalization

Definition. A labeled poset that is a tree is said to be a fair tree if for each vertex, its outgoing edges up to its children are either all strict or all weak.

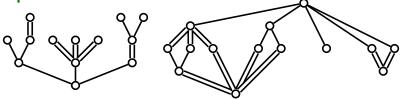
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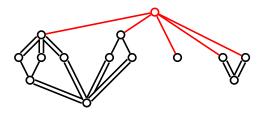
Definition. More generally, we define the set C of labeled posets recursively by:

- 1. the one-element labeled poset [1] is in C;
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- 3. C is closed under the ordinal sums $(P, \omega) \uparrow [1]$ and $(P, \omega) \uparrow [1]$;
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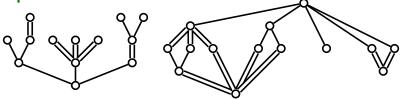
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Our main theorem

Theorem 2 [Aval, Djenabou, M., 2022]. $\mathcal{K}_{(P,\omega)}(\mathbf{x})$ distinguishes elements of \mathcal{C} , so in particular fair trees; i.e. if (P, ω) and (Q, τ) are in \mathcal{C} and not isomorphic, then $\mathcal{K}_{(P,\omega)}(\mathbf{x}) \neq \mathcal{K}_{(Q,\tau)}(\mathbf{x})$.

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Crux of the proof: **Proposition 1** [Aval, Djenabou, M., 2022] If (P, ω) is a connected element of C then $K_{(P,\omega)}(\mathbf{x})$ is irreducible as a quasisymmetric function.

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Irreducibility is also the crux for

Hasebe & Tsujie;

Ricki Ini Liu & Michael Weselcouch (K[<]_P(x) distinguishes series-parallel posets; needs irreducibility for general connected *P* with all strict edges, 2020).

Stanley, 1971 and Ira Gessel, 1984: $K_{(P,\omega)}(\mathbf{x})$ expands beautifully in *F*-basis.

Example.



Linear extensions: $\mathcal{L}(P,\omega) = \{3412, 1324, 1342, 3124, 3142\}.$

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Theorem [Gessel & Stanley]. For a labeled poset (P, ω) ,

$$\mathcal{K}_{(\mathcal{P},\omega)} = \sum_{\pi \in \mathcal{L}(\mathcal{P},\omega)} \mathcal{F}_{\operatorname{comp}(\pi)}.$$

Recall Stanley's **Famous Conjecture 1.** $X_G(\mathbf{x})$ distinguishes trees. In other words, if *T* and *U* are non-isomorphic trees, then $X_T(\mathbf{x}) \neq X_U(\mathbf{x})$.

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Surprising Conjecture 5 [Nick Loehr & Greg Warrington, 2022]. $X_G(1, q, q^2, ..., q^{n-1})$ distinguishes trees with *n* vertices, i.e. if *T* and *U* are non-isomorphic trees with *n* vertices, then

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Conjecture 6 [Aval, Djenabou, M., 2022].

 $K_P^{<}(1, q, q^2, ..., q^{n-1})$ distinguishes tree posets with *n* elements, i.e. if *T* and *U* are non-isomorphic tree posets with *n* vertices, then

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Remark. This specialization has a nice interpretation for $K_{(P,\omega)}$: if

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Thanks for your attention!