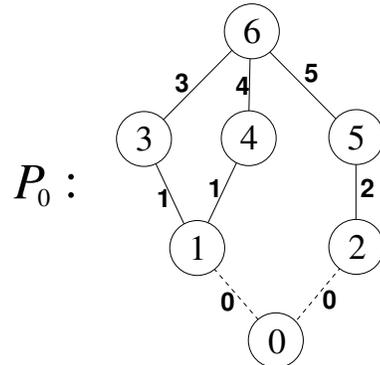
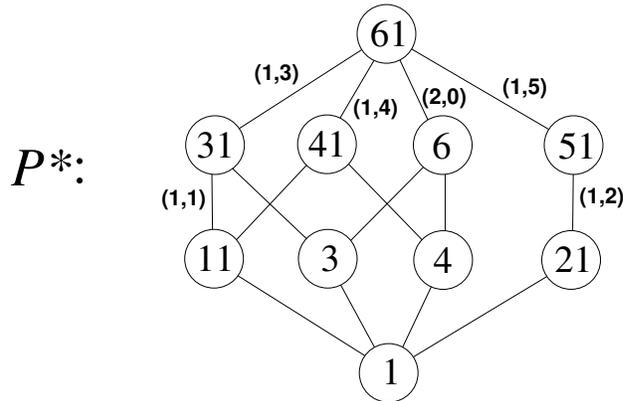


DISCRETE MORSE THEORY FOR POSETS: THE BARE BONES  
WITH AN EXAMPLE FROM GENERALIZED SUBWORD ORDER



$$\begin{aligned}
 6 &\xrightarrow{3} 3 \xrightarrow{1} 1 \xrightarrow{0} 0 \\
 6 &\xrightarrow{4} \boxed{4} \xrightarrow{1} 1 \xrightarrow{0} 0 \\
 6 &\xrightarrow{5} \boxed{5 \xrightarrow{2} 2} \xrightarrow{0} 0 \Rightarrow \mu_0(0, 6) = (-1)^{1-1} = +1
 \end{aligned}$$



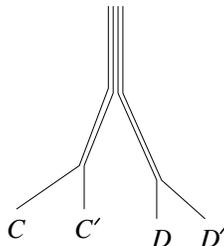
$$\begin{aligned}
 61 &\xrightarrow{(1,3)} 31 \xrightarrow{(1,1)} 11 \xrightarrow{(1,0)} 01 \\
 61 &\xrightarrow{(1,3)} 31 \xrightarrow{(2,0)} 30 \xrightarrow{(1,1)} 10 \\
 61 &\xrightarrow{(1,4)} 41 \xrightarrow{(1,1)} 11 \xrightarrow{(1,0)} 01 \\
 61 &\xrightarrow{(1,4)} 41 \xrightarrow{(2,0)} 40 \xrightarrow{(1,1)} 10 \\
 61 &\xrightarrow{(1,5)} 51 \xrightarrow{(1,2)} 21 \xrightarrow{(1,0)} 01 \\
 61 &\xrightarrow{(2,0)} 60 \xrightarrow{(1,3)} 30 \xrightarrow{(1,1)} 10 \\
 61 &\xrightarrow{(2,0)} 60 \xrightarrow{(1,4)} 40 \xrightarrow{(1,1)} 10
 \end{aligned}$$

**Goal:** compute  $\mu(x, y)$  using DMT.

- (1) Pick an ordering, denoted  $\prec$ , of the maximal chains of  $[x, y]$  that is a *poset lexicographic order* (PLO). *Note:* chains are read from top to bottom.
- (2) Identify the *skipped intervals* (SIs) of each maximal chain  $C$ , i.e., an interval  $I$  of  $C$  such that  $C \setminus I \subseteq B$  for some maximal chain  $B \prec C$ .
- (3) Identify the *minimal skipped intervals* (MSIs) of  $C$ , i.e., the SIs that are minimal with respect to containment.
- (4) *Remove overlaps* among the MSIs of  $C$  in a certain precise fashion to obtain the set  $\mathcal{J}(C)$  of intervals.
- (5) If the  $\mathcal{J}(C)$  cover the interior of  $C$ , then  $C$  is *critical*.
- (6) *Compute* the Möbius function:

$$\mu(x, y) = \sum_{\text{critical chains } C} (-1)^{|\mathcal{J}(C)|-1}.$$

**“Definition”.** An ordering  $C_1 \prec C_2 \prec \dots$  of the maximal chains of  $[x, y]$  is a *poset lexicographic order* (PLO) if it satisfies the following (mild) property. Suppose that  $C$  and  $C'$  diverge from  $D$  and  $D'$  at a certain point, while the divergence of  $C'$  from  $C$  and of  $D'$  and  $D$  happens later. In this situation we insist that  $C \prec D$  if and only if  $C' \prec D'$ .



**Example.** The construction of the disjoint sets  $\mathcal{J}(C)$  from the MSIs of a maximal chain  $C$  is an iterative procedure illustrated in the table below. Suppose  $C$  is the maximal chain

$$y > c_1 > c_2 > \dots > c_8 > x$$

and the MSIs from top to bottom are as given in the first line of the table.

MSIs	$I_1 = \{c_1, c_2\}$	$I_2 = \{c_2, c_3, c_4\}$	$I_3 = \{c_4, c_5, c_6\}$	$I_4 = \{c_5, c_6, c_7, c_8\}$
$I_1$ disjoint	$\mathbf{J}_1 = \{c_1, c_2\}$	$I'_2 = \{c_3, c_4\}$	$I'_3 = \{c_4, c_5, c_6\}$	$I'_4 = \{c_5, c_6, c_7, c_8\}$
$I'_2$ disjoint	$J_1 = \{c_1, c_2\}$	$\mathbf{J}_2 = \{c_3, c_4\}$	$I''_3 = \{c_5, c_6\}$	$I''_4 = \{c_5, c_6, c_7, c_8\}$
$\mathcal{J}(C)$	$J_1 = \{c_1, c_2\}$	$J_2 = \{c_3, c_4\}$	$\mathbf{J}_3 = \{c_5, c_6\}$	no longer minimal

Note that the  $\mathcal{J}(C)$  no longer cover the interior of  $C$ , so  $C$  is not a critical chain.

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