

# The Möbius function of generalized subword order

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Bucknell University  
(2012/2013 at Trinity College Dublin)

Joint work with:  
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30th July 2012

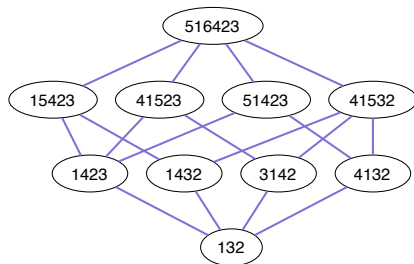
Slides and full paper (*Adv. Math.*) available from  
[www.facstaff.bucknell.edu/pm040/](http://www.facstaff.bucknell.edu/pm040/)

- ▶ Generalized subword order and related posets
- ▶ Main result
- ▶ Applications

# Motivation: Wilf's question

Pattern order: order permutations by pattern containment.

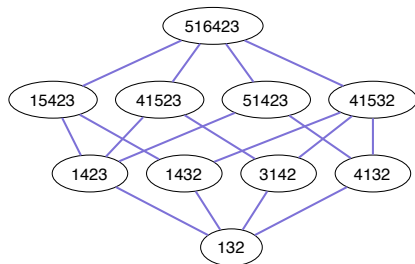
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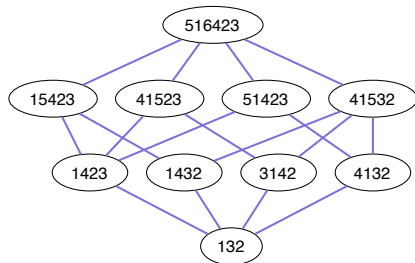


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- ▶ Sagan & Vatter (2006)
- ▶ Steingrímsson & Tenner (2010)
- ▶ Burstein, Jelínek, Jelínková & Steingrímsson (2011)

Still open.

# Motivation for generalized subword order

Our focus: a different poset's Möbius function;  
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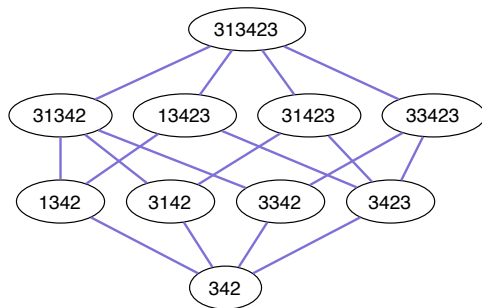
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2 partial orders.

1. Subword order.

$A^*$ : set of finite words over alphabet  $A$ .

$u \leq w$  if  $u$  is a subword of  $w$ , e.g.,  $342 \leq 313423$ .

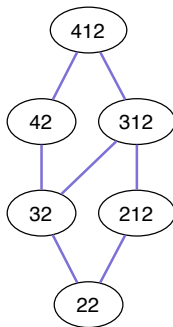


# Motivation for generalized subword order

## 2. An order on compositions.

$(a_1, a_2, \dots, a_r) \leq (b_1, b_2, \dots, b_s)$  if there exists a subsequence  $(b_{i_1}, b_{i_2}, \dots, b_{i_r})$  such that  $a_j \leq b_{i_j}$  for  $1 \leq j \leq r$ .

e.g.  $2\bar{2} \leq 4\bar{1}\bar{2}$ .



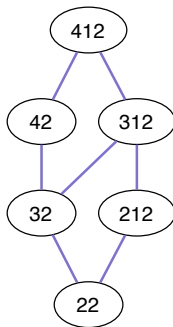


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e.g.  $22 \leq 412$ .



Composition order  $\cong$  pattern order on *layered* permutations

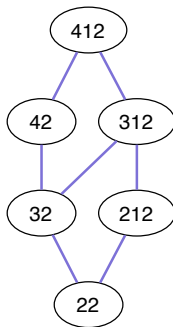
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$4\bar{1}\bar{2} \leftrightarrow 4\bar{3}\bar{2}\bar{1}\bar{5}\bar{7}\bar{6}$

$2\bar{2} \leftrightarrow \bar{2}\bar{1}\bar{4}\bar{3}$

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**Example 1.** If  $P$  is an antichain,  $u(j) \leq_P w(i_j)$  iff  $u(j) = w(i_j)$ .



Gives subword order on the alphabet  $P$ , e.g.,  $342 \leq 313423$ .

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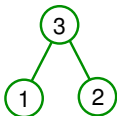
**Example 2.** If  $P$  is the chain below,  $u(j) \leq_P w(i_j)$  iff  $u(j) \leq w(i_j)$  as integers.



Gives composition order, e.g.  $2\bar{2} \leq 4\bar{1}\bar{2}$ .

# Key example

Example 3.  $P = \Lambda$

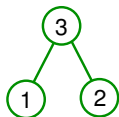


e.g.  $11 \leq 333$  but  $11 \not\leq 222$ .

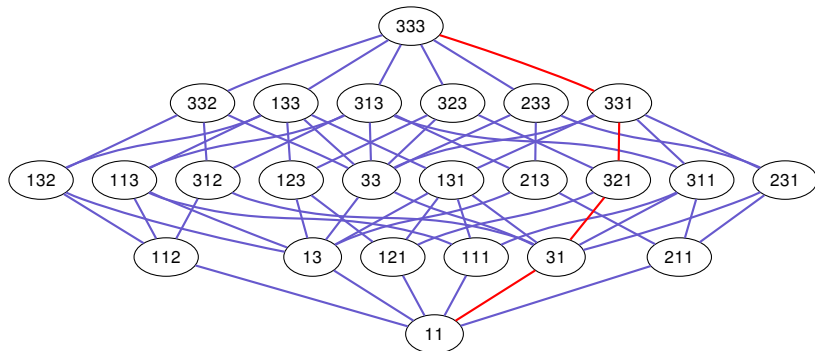


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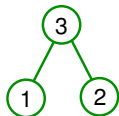


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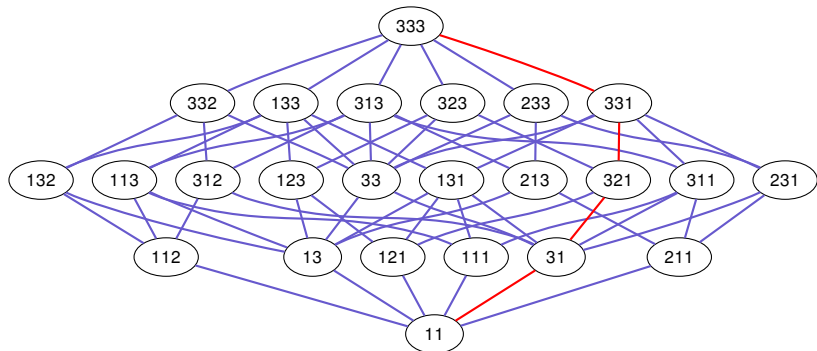


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Definition from Sagan & Vatter (2006); appeared earlier in context of well quasi-orderings [Kruskal, 1972 survey].

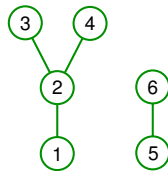
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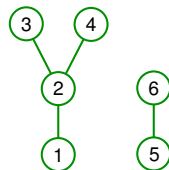


Includes antichains and chains.

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- ▶ Sagan & Vatter (2006): when  $P = \Lambda$ , conjecture that  $\mu(1^i, 3^j)$  equals certain coefficients of Chebyshev polynomials of the first kind.

Tomie (2010): proof using methods not easily extendable.

Our first goal: a more systematic proof.

# Main result

$P_0$ :  $P$  with a bottom element 0 adjoined.

$\mu_0$ : Möbius function of  $P_0$ .

**Theorem.** Let  $P$  be a poset so that  $P_0$  is locally finite. Let  $u$  and  $w$  be elements of  $P^*$  with  $u \leq w$ . Then

$$\mu(u, w) = \sum_{\eta} \prod_{1 \leq j \leq |w|} \begin{cases} \mu_0(\eta(j), w(j)) + 1 & \text{if } \eta(j) = 0 \text{ and} \\ & w(j-1) = w(j), \\ \mu_0(\eta(j), w(j)) & \text{otherwise,} \end{cases}$$

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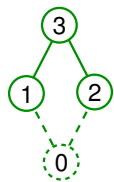
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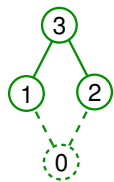
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The interval  $[\emptyset, 33333]$  in  $P^*$  has 1906 edges!

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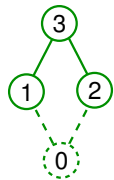
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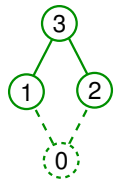
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# A word or two about the proof

Forman (1995): discrete Morse theory.

Babson & Hersh (2005): discrete Morse theory for order complexes.

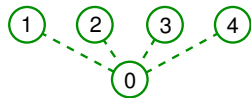
Determine which maximal chains are “critical.”  
Each critical chain contributes  $+1$  or  $-1$  to the reduced Euler characteristic / Möbius function.

**Take-home message?** If the usual methods for determining Möbius functions don't work, try DMT.

Not an easy proof: 14 pages with examples.  
One subtlety: DMT doesn't give us everything; also utilize classical Möbius function techniques.

# Application to subword order

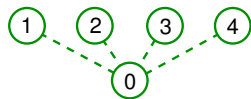
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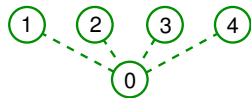
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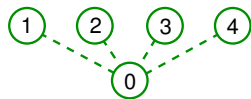
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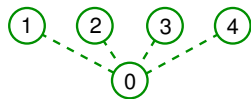
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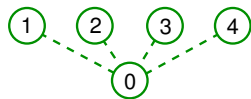
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“Normal embedding” (Björner): whenever  $w(j-1) = w(j)$ , need  $j$ th entry of embedding  $\eta$  to be nonzero.

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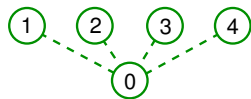
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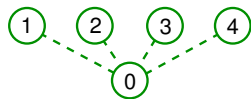
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# Application to subword order

Application 1. Möbius function of subword order (Björner).



$$\mu(u, w) = \sum_{\eta} \prod_{1 \leq j \leq |w|} \begin{cases} \mu_0(\eta(j), w(j)) + 1 & \text{if } \eta(j) = 0 \text{ and} \\ \mu_0(\eta(j), w(j)) & \text{if } w(j-1) = w(j), \\ & \text{otherwise,} \end{cases}$$

e.g.,  $\mu(23, 23313)$

$$w = 23313$$

$$\eta = 20003$$

$$(1)(-1)(-1+1)(-1)(1)=0$$

“Normal embedding” (Björner): whenever  $w(j-1) = w(j)$ , need  $j$ th entry of embedding  $\eta$  to be nonzero.

$$w = 23313$$

$$\eta = 20300$$

$$(1)(-1)(1)(-1)(-1)$$

$$\mu(u, w) = (-1)^{|w|-|u|} (\# \text{ normal embeddings}).$$

# More applications

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$$\mu(1^i, 3^j) = [x^{j-i}] T_{i+j}(x) \quad \text{for } 0 \leq i \leq j$$

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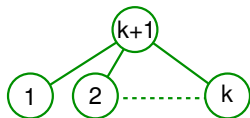
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**Application 5.** Tomie's results for augmented  $\Lambda$ .



**Application 6.** Suppose  $\text{rk}(P) \leq 1$ . Then any interval  $[u, w]$  in  $P^*$  is

- ▶ shellable;
- ▶ homotopic to a wedge of  $|\mu(u, w)|$  spheres, all of dimension  $\text{rk}(w) - \text{rk}(u) - 2$ .

**Open problem.** What if  $\text{rk}(P) \geq 2$ ?

# Summary

- ▶ Generalized subword order interpolates between subword order and an order on compositions.
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Thanks!

ありがとう

$[\emptyset, 33333]$  when  $P = \lambda$

